



# Regulatory & Compliance

Supporting businesses to navigate through the compliance and regulatory challenges

Our professionals have extensive experience in the provision of regulatory and compliance advisory services. We utilize our broad and thorough knowledge of the UAE commercial rules and regulations to provide effective and efficient advice to our clients on a wider range of matters.

The multidisciplinary team within Grant Thornton can help you navigate through the complex compliance and regulatory challenges in an efficient and effective manner.

At Grant Thornton, we have a dedicated team of specialists who offer a wide range of services, which will support dynamic businesses to meet their regulatory and compliance needs which may span across various aspects of the business lifecycle.

Our expertise expands over financial institutions regulated by Central Bank of UAE (CB), Securities & Commodities Authority (SCA), Dubai Financial Services Authority (DFSA) and Financial Services Regulatory Authority (FSRA).

## Our services include:

- Assistance in Licensing of and Incorporation (CB, SCA, DFSA/ DIFC and FSRA/ADGM)
- Outsourcing, review, compliance assessment and reporting of Anti-money laundering and risk services
- Foreign Account Taxation Compliance Act (FATCA) / Common reporting standard (CRS) Implementation & Review
- Responsible Sourcing Review
- Operational Risk Reviews
- Basel II-III Gap Analysis and Impact Analysis
- Advising and Verification of the Global



At Grant Thornton, we offer comprehensive solutions which are built around addressing the legal and compliance issues which are faced by DFSA and Central Bank regulated financial services firms. Our services are focused on supporting the client in achieving their strategic objectives during a period of considerable change and uncertainty.

Our approach is to provide a partner / director led personalised service. While you face many challenges, we will support by bringing you ideas that have worked elsewhere and that other clients are implementing across your sector. We believe this is best achieved through building an open and pragmatic relationship with our clients.

Our team has extensive industry and regulatory knowledge to help serve your needs. Our experienced team is enthusiastic and interested in understanding your business, your culture and ways of working. We effectively spend time with our clients to build long term relationships at all levels and deliver to your requirements. Our team regularly interacts with clients and devotes time for meetings to ensure issues are resolved promptly, before they have regulatory complications.

Our team of compliance experts bring fresh ideas to the business and solutions that not only meet regulatory requirement but also help foster business growth across the key growth phases. We provide meaningful and actionable advice, supporting you to grow.



### Shakeel Bhatti

Partner

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## Shakeel Bhatti is the Regulatory & Compliance Partner

- 15 years of professional services and commercial experience
- Worked on international assignments
- FCA, CFA and attended the Said Business School, University of Oxford

He has experience of working with owner managed firms, mid-sized enterprises and large institutions including public entities. He has been involved in providing specialist services including due diligence, business advisory, transactions structuring and business valuations.

At Grant Thornton, Shakeel has been involved in buyer side acquisition mandates for foreign investors capitalising opportunities available in the Middle Eastern market.

During his time with Grant Thornton, he has worked with various entities in the financial services sector and has ample knowledge of Dubai International Financial Centre regulations in this context.

His industry experience includes constructions, civil contracting and retails. He has also worked closely on healthcare, manufacturing commercial, management services and auto industry assignments.

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Dynamic organisations can achieve significant growth with the right support. You need advisers who deeply understand your business, industry and wider agenda for growth. Our capabilities, expertise and culture make us perfectly placed to fill that role. As a global organisation, with member firms in more than 130 countries, we have the scale to meet your changing needs, but with the insight and agility that helps you stay one step ahead. Our brand is recognised and respected the world over. We are one of the major global accounting and advisory organisations recognised by capital markets, regulators and international standard setting bodies worldwide. Within the United Arab Emirates our deep rooted history of over five decades has placed us at the forefront of supporting businesses to unlock their potential for growth. Whether it's growing shareholder value, improving operational efficiency or building investor confidence, we balance a desire to do what's best for you in the future with an instinctive sense of supporting you now.